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Direct-Estimation Algorithm for Mapping Daily Land-Surface Broadband Albedo From MODIS Data

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Abstract-Land surface albedo is a critical parameter in surface-energy budget studies. Over the past several decades, many albedo products are generated from remote-sensing data sets. The Moderate Resolution Imaging Spectroradiometer (MODIS) bidirectional reflectance distribution function (BRDF)/Albedo algorithm is used to routinely produce eight day (16-day composite), 1-km resolution MODIS albedo products. When some natural processes or human activities occur, the land-surface broadband albedo can change rapidly, so it is necessary to enhance the temporal resolution of albedo product. We present a direct-estimation algorithm for mapping daily landsurface broadband albedo from MODIS data. The polarization and directionality of the Earth's reflectance-3/polarization and anisotropy of reflectances for atmospheric sciences coupled with observations from a Lidar BRDF database is employed as a training data set, and the 6S atmospheric radiative transfer code is used to simulate the top-of-atmosphere (TOA) reflectances. Then a relationship between TOA reflectances and land-surface broadband albedos is developed using an angular bin regression method. The robustness of this method for different angular bins, aerosol conditions, and land-cover types is analyzed. Simulation results show that the absolute error of this algorithm is ~0.009 for vegetation, 0.012 for soil, and 0.030 for snow/ice. Validation of

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the direct-estimation algorithm against *in situ* measurement data shows that the proposed method is capable of characterizing the temporal variation of albedo, especially when the land-surface BRDF changes rapidly.

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Index Terms—Angular bin regression, direct-estimation algorithm, land-surface broadband albedo, Moderate Resolution Imaging Spectroradiometer (MODIS), polarization and directionality of the Earth's reflectance (POLDER) bidirectional reflectance distribution function (BRDF) database.

I. INTRODUCTION

AND surface albedo, defined as the fraction of incident solar radiation $(0.3-5.0 \ \mu m)$ reflected by land surfaces [1], [2], is one of the most important parameters in general circulation models, hydrology models, numerical weather models, and surface-radiation-budget studies.

There are several projects focused on monitoring the spatiotemporal variations of land-surface albedo at observation sites distributed throughout the world. However, it is not sufficient to use these data directly in climate models. Because different climate models compute albedo differently, their estimates can differ significantly [3]. Simultaneously, satellite observations can provide a global albedo product with higher spatial and temporal resolution, which is more suitable for global-change studies.

Over the past several decades, many albedo products with spatial resolutions of 500 m to 20 km and temporal frequencies ranging from daily to monthly are produced from different remote-sensing data sets, such as the Moderate Resolution Imaging Spectroradiometer (MODIS) [4]–[6], polarization and directionality of the Earth's reflectance (POLDER) [7]–[11], Medium Resolution Imaging Spectrometer (MERIS) [12], Clouds and the Earth's Radiant Energy System (CERES) [13], Meteosat Second Generation (MSG) [14], [15] and meteosat [16]–[20].

The MODIS bidirectional reflectance distribution function (BRDF)/Albedo algorithm [6] is routinely used for producing the MCD43 series of albedo products. The MODIS 1-km broadband albedo product is produced by inverting multidate, multiangular, cloud-free, atmospherically corrected surface reflectances acquired by the MODIS instrument onboard the Terra and Aqua satellites. In general, it is carried out in three steps: 1) atmospheric correction; 2) surface angular modeling; and 3) narrowband-to-broadband albedo conversions. Each step has an explicit physical foundation. However, the errors from each step may accumulate and affect the accuracy of the final albedo product. For example, the atmospheric correction of the MODIS top-of-atmosphere (TOA) reflectance depends

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on the performance of the aerosol retrieval algorithm. When the algorithm for estimating the aerosol optical depth (AOD) is not applicable (e.g., no "dark objects" can be found in the scene), the atmospheric-corrected reflectance product can be unreliable [21].

The temporal resolution of the MCD43B3 collection five products is eight days, and the temporal resolution of a newly proposed product called the "direct broadcast" albedo product [22] is daily. However, all of these products are based on a 16-day cycle's observed data. This observation strategy assumes that the land-surface reflectance signature does not change during the 16-day cycle, which is not always valid. For instance, when several natural processes (e.g., snowfall, snowmelt, precipitation, and vegetation growth) and human activities (e.g., clearing and planting forests, sowing and harvesting crops, burning rangeland) occur, the land surface albedo can change rapidly [23]. In other words, the algorithms based on multidate observations cannot capture phenomena with rapidly changing albedos.

The alternative is to develop an empirical relationship between the TOA reflectances and surface broadband albedos [24]-[26]. This method produces a daily albedo product using just one scene of MODIS data in each retrieval process, which is usually called the direct-estimation algorithm. Liang et al. [24] used the MODTRAN package to simulate TOA reflectances under the Lambertian assumption, and they obtained a relationship between MODIS TOA reflectances and broadband albedos by using a neural network method. Liang [25] used the projection pursuit regression method. However, these two studies did not consider the anisotropy of the land surface. Liang et al. [26] further improved it for estimating daily land surface albedo of the Greenland ice sheet from MODIS data. They used the discrete ordinates radiative transfer program for a multilayered planeparallel medium to simulate TOA reflectances of snow/ice and then developed the relationship between bidirectional TOA reflectances and broadband albedos using an empirical training method; they divided the solar/view geometry space into angular bins and calculated the regression coefficients of each angular bin using a linear regression method.

Cui *et al.* [27] used the POLDER-2 BRDF database to develop a relationship between surface reflectances and broadband albedos using simple linear regression at different solar zenith angles (SZAs) and phase angles. This method can be considered an anisotropy-correction method, which is very accurate for snow/ice-free land surfaces under clear-sky conditions.

In this paper, we developed a new direct-estimation algorithm by employing the 6S (second simulation of a satellite signal in the solar spectrum) atmospheric radiative transfer code [28] to simulate the TOA directional reflectances, calculating the broadband albedos based on the POLDER BRDF data set, and then establishing a relationship between the TOA reflectances and surface broadband albedos using an angular bin regression method.

II. METHODOLOGY

The direct-estimation algorithm is an empirical method of estimating broadband land surface albedo based on the BRDF database. To develop a statistical relationship between



Fig. 1. Flow chart to derive the coefficients for the direct-estimation algorithm.

satellite-observed TOA directional reflectances and the landsurface broadband albedos, a training data set is needed. To this end, we initially constructed a surface BRDF database and then simulated the TOA directional reflectances with the atmospheric radiative transfer model. The broadband albedos are derived from narrowband albedos calculated by a revised linear kernel-driven model. Finally, a relationship between TOA directional reflectances and broadband albedos is developed using an angular bin regression method. The essential part of the algorithm is to derive the empirical regression coefficients for each of the angular bin, and then it is very simple to use these coefficients to estimate broadband albedo from MODIS observations. The flow chart for the regression coefficients is shown in Fig. 1.

In the following sections, we initially address the issue of building the training data set and the atmospheric radiative transfer model, and then provide a detailed description of the angular bin regression method.

A. Training Data Set

1) POLDER-3/Polarization and Anisotropy of Reflectances for Atmospheric Sciences Coupled With Observations From a Lidar BRDF Database: In [25] and [29], the data sets of field or laboratory spectra are used to represent the reflectance properties of different types of land surfaces. However, the reflectance anisotropy of land-surface objects are not considered. The BRDF database is needed as a prior knowledge to compute the land-surface broadband albedos using the TOA directional reflectances observed by satellite sensors. Unfortunately, the ground-measured data are not suitable for direct comparison with satellite acquired data, as the scale of the ground-measured data is not compatible with the satellite data, and currently there are not enough ground-measured BRDF data sets to represent all the various land-cover types in a global scope. Therefore, the satellite directional observation data set is more suitable for training data in our paper. To the best of our knowledge, the POLDER BRDF database produced by POSTEL service center/MEDIAS-France is an ideal anisotropy reflectance database that meets our requirements.

The POLDER-3/polarization and anisotropy of reflectances for atmospheric sciences coupled with observations from a Lidar (PARASOL) BRDF database can be down-loaded from the internet: (URL: http://postel.mediasfrance.org/en/ BIOGEOPHYSICAL-PRODUCTS/BRDF). The POLDER-3 radiometer onboard the CNES/Parasol satellite provides multidirectional measurements of the Earth reflectances at six bands (the center wavelengths are 490, 565, 670, 765, 865, and 1020 nm). In each overpass of the satellite, the POLDER instrument acquires up to 16 reflectance measurements of land surfaces from different directions, with a maximum view zenith angle (VZA) of 70°. The directional reflectance is corrected for molecular and aerosols scattering, as well as atmospheric absorption [10], [30]. Each sample (pixel) of the BRDF database is an accumulation set of one-month atmospheric-corrected bidirectional reflectance factors (BRFs) from different satellite overpasses. For each sample, 80-500 angular samplings semihomogenously distributed in the viewing hemisphere can be obtained. The samples of POLDER-3/PARASOL BRDF database are selected through a series of selection procedures. These selection procedures are carried out in three steps: 1) the selection of thematically homogeneous pixels, according to the land-cover map [the land-cover classification scheme of the international geosphere-biosphere program (IGBP)] or GLC2000 (global land cover map for the year 2000); 2) a preselection procedure according to the quality of the inversion of the reflectance model against one month of data for removing the noisiest BRDFs and those with few observations; and 3) the final selection procedure that accounts for the quality of the reflectance model inversion over one single orbit.

There are two significant improvements in the most recent version of POLDER database (POLDER-3/PARASOL BRDF database): 1) POLDER-3 BRDF data are acquired over 12 months from November 2005 to October 2006 whereas POLDER-1 and 2 BRDF data are acquired over eight and seven months, respectively, and data from a full year provide a much better representation of the seasonal variations of land surface albedo, and 2) the POLDER-3 instrument is onboard the microsatellite PARASOL. The POLDER-3/PARASOL BRDF database contains 13 887 samples (pixels) that present different landscapes at different locations with a spatial resolution of 6 km. Each sample of the data set is composited using monthly observed data. The data set contains all types of IGBP classes, and it is distributed widely throughout the world.

2) Interpolation and Extrapolation for the BRDF Database: When the SZA is 60° or 20°, the observations are particularly rare, so the POLDER-3/PARASOL database need to be interpolated and extrapolated to build the complete training data set. The most acknowledged method of fitting and predicting BRDF is the linear kernel-driven model [31], [32], in which the BRF is expressed as follows:

$$R(\theta_s, \theta_v, \varphi; \lambda) = f_{\rm iso}(\lambda) + f_{\rm vol}(\lambda)k_{\rm vol}(\theta_s, \theta_v, \varphi) + f_{\rm geo}(\lambda)k_{\rm geo}(\theta_s, \theta_v, \varphi) \quad (1)$$

where θ_s is SZA; θ_v is VZA; φ is relative azimuth angle (RAA); λ is the wavelength; and k_{vol} and k_{geo} are the volume scattering and geo-optical kernels, respectively; f_{iso} , f_{vol} , and f_{geo} are the coefficients of isotropic, volume scattering, and geo-optical kernel, respectively.

The original linear kernel-driven model proposed by Wanner *et al.* [31] is especially suitable for accounting the backward-scattering effect of the directional reflectance from vegetation canopy. Because of the strong forward-scattering effects of directional reflectances of snow/ice in the polar area [33], a forward-scattering kernel is needed. After extensive comparisons of several different models, we revise the original linear kernel-driven model as follows:

$$R(\theta_s, \theta_v, \varphi; \lambda) = f_{\rm iso}(\lambda) + f_{\rm vol}(\lambda)k_{\rm vol}(\theta_s, \theta_v, \varphi) + f_{\rm geo}(\lambda)k_{\rm geo}(\theta_s, \theta_v, \varphi) + f_{\rm fwd}(\lambda)k_{\rm fwd}(\theta_s, \theta_v, \varphi)$$
(2)

where $k_{\text{fwd}}(\theta_s, \theta_v, \varphi)$ and $f_{\text{fwd}}(\lambda)$ are the forward-scattering kernel and its coefficients, respectively. A revised Ross-Thick kernel that accounts for the hot-spot effect [11] is used as the volume scattering kernel, and the Li-Sparse-R kernel [34] is used as the geometry optical kernel. The forward-scattering kernel is added to describe the forward-scattering effects for snow surfaces and is derived by simplifying the Rahman– Pinty–Verstraete model [35] and fixing the parameters to typical values

$$k_{\rm fwd}(\theta_s, \theta_v, \varphi) = \frac{\cos^{k-1} \theta_s \cos^{k-1} \theta_v}{(\cos \theta_s + \cos \theta_v)^{1-k}} \\ \cdot \frac{1 - g^2}{(1 + g^2 - 2g \cos(\pi - \zeta))^{3/2}} - \frac{1 + g}{2^{1-k} (1 - g)^2}$$
(3)

where g = 0.0667 and k = 0.846. $\cos \xi = \cos \theta_s \cos \theta_v + \sin \theta_s \sin \theta_v \cos \phi$.

The BRF measurements are used to retrieve the kernel parameters of (2), which are then applied to interpolate and extrapolate the BRDF data to an arbitrary incident/view angle. The uncertainties of the interpolations and extrapolations increase as the solar/VZA increases, and the maximum root-mean-square error (RMSE) is ~0.005. Although the quality control of the POLDER-3 BRDF database is very efficient, we found that the consistency of several BRDF samples is not good because of the BRDF characteristic changes during the one-month collection period (e.g., snow fall and snow melt processes, soil moisture changes). To screen out the data sets that are not suitable for our study, the following criteria are adopted:

- 1) $\gamma_{490} < 0.01$ and $\gamma_{490}/\mu_{490} < 0.3$;
- 2) $\gamma_{\text{total}} < 0.1$ and $\gamma_{\text{total}}/\mu_{total} < 0.2$;
- 3) the number of orbits is >4, and the total observation number is >80.

Here, γ_{490} is the RMSE of the fitting result for POLDER band one, which is centered at 490 nm; γ_{total} is the sum RMSE of the fitting result for six bands (490, 565, 670, 765, 865, and 1020 nm); μ_{490} is the mean value of the reflectance at 490 nm; and μ_{total} is the mean value across all the six bands (490, 565, 670, 765, 865, and 1020 nm). When the data is contaminated by clouds, or there is drastic change in surface properties (such as before and after snow), the value of γ_{total} and γ_{490} is usually much higher than usual. The third criterion screens out data sets with insufficient sample numbers. All of these thresholds are empirical coefficients that are determined by visual interpretation. After this process, the data of 4863 pixels are screened out, and the remaining 9024 pixels are employed in the following steps.

3) Band Conversions From POLDER to MODIS: The directional reflectances at MODIS bands are needed in this paper. Because reflectances at different wavelengths are highly correlated [36], the band conversions from POLDER to MODIS

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TABLE I BAND CONVERSION COEFFICIENTS (FROM POLDER TO MODIS)

Band Name	c ₁ POLDER-	c ₂ POLDER-	c ₃ POLDER-	c ₄ POLDER-	c5 POLDER-	c ₆ POLDER-	c ₀ (offset)	RMSE
	(490 nm)	(565 nm)	(670 nm)	(765 nm)	(865 nm)	(1020 nm)		
MODIS (648 nm)	0.025804	0.310757	0.686464	-0.039359	-0.013607	0.03182	0.004839	0.003081
MODIS (859 nm)	0.025002	-0.027624	-0.011339	0.26367	0.701168	0.05768	0.000611	0.00339
MODIS (466 nm)	0.91419	0.141745	-0.060689	0.013902	0.010337	-0.022371	-0.007441	0.004179
MODIS (554 nm)	0.205108	0.610729	0.125942	0.11475	-0.01769	-0.046839	-0.001009	0.004307
MODIS (1244 nm)	-0.372893	0.016735	0.406773	-0.205036	-0.450877	1.432253	0.023339	0.021808
MODIS (1631 nm)	-1.062408	-0.380808	1.514777	-0.370976	-0.53941	1.169188	0.073113	0.043379
MODIS (2119 nm)	-1.177391	-0.444721	1.798923	-0.303519	-0.517468	0.818367	0.072311	0.048518

can be achieved through a statistical approach

$$\rho_{\text{MODIS}}(\lambda_i) = c_0 + \sum_{j=1}^n c_j \rho_{\text{POLDER}}(\lambda_j)$$
(4)

where $\rho_{\text{MODIS}}(\lambda_i)$ are the MODIS reflectances at λ_i , $\rho_{\text{POLDER}}(\lambda_i)$ are the POLDER-3 reflectances at λ_i , c_0 is the intersection term, and c_i are the conversions coefficients. The intersection terms and coefficients are derived by linear regression using the following ground-measured spectral data sets: spectral reflectance data presented in quantitative remote sensing of land surfaces [37] (119 samples), the spectral library of Beijing Normal University (URL: http://spl.bnu.edu.cn, 224 samples), the database of watershed allied telemetry experimental research (WATER) Experiment (URL: http://westdc.westgis.ac.cn/water/zy, 103 samples), and the snow reflectance data set measured in Greenland (47 samples). The coefficients and RMSE values of the regression function are shown in Table I. The RMSE values of the visible-infrared bands of MODIS (648, 859, 466, and 544 nm) are smaller than those of the short-wave infrared bands of MODIS (1244, 1631, and 2119 nm), because the POLDER instrument does not have the shortwave infrared bands. Thus, the bands at 1244, 1631, and 2119 nm are not used in our regression analysis approach because the bandconversion errors for these bands are large.

4) Method for Deriving Broadband Albedos: The instantaneous albedo (blue-sky albedo) can be expressed in the form of [5] and [38]

$$\alpha(\theta_s, \lambda) = \alpha_{bs}(\theta_s, \lambda)(1 - D(\theta_s, \tau(\lambda))) + \alpha_{ws}(\lambda)D(\theta_s, \tau(\lambda))$$
(5)

where $\alpha(\theta_s)$ is the actual albedo at wavelength λ , $D(\theta_s, \tau(\lambda))$ is the fraction of diffuse skylight when the SZA is $\theta_s, \tau(\lambda)$ is the AOD at wavelength λ , α_{bs} is the black-sky albedo (directional-hemispheric albedo), and α_{ws} is the white-sky albedo (bihemispheric albedo). The fraction of diffuse skylight changes with SZA, AOD, bands, and aerosol model types. It can be calculated by a predetermined look up table (LUT) based on 6S atmospheric radiative transfer code.

If the BRDF is retrieved by the linear kernel-driven model, the black-sky and white-sky albedos can be calculated as follows [5]:

$$\alpha_{bs}(\theta_s,\lambda) = \sum_k f_k(\lambda)h_k(\theta_s) \tag{6}$$

$$\alpha_{ws}(\lambda) = \sum_{k} f_k(\lambda) H_k \tag{7}$$

where $h_k(\theta_s)$ is the integral of kernels k over view hemisphere when the SZA is θ_s , and H_k is the integral of $h_k(\theta_s)$ over incidence hemisphere. f_k are the coefficients of linear kerneldriven model kernels k.

The narrowband-to-broadband conversions can be expressed by [29]

$$\alpha = c_0 + \sum_{i=1}^n c_i \alpha(\lambda_i) \tag{8}$$

where α is the broadband albedo, $\alpha(\lambda)$ is the spectral (narrowband) albedo, and c_i (i = 0, 1, ..., n, where *n* is the number of bands) are the coefficients for band conversions. The conversion coefficients (Table II) for narrowband-to-broadband conversions are derived in several previous studies [29], [39].

B. Atmospheric Radiative Transfer Simulation

In this paper, the 6S atmospheric radiative transfer code is used to simulate the TOA directional reflectances. To avoid extremely large computations, we did not use the 6S module directly. Instead, a fast and accurate approximation method proposed by Qin [40] is chosen to simulate the TOA directional reflectances. This method is especially suitable for simulating the atmospheric effects over nonlambertian land surfaces. To eliminate the effects of water vapor absorption, the original equation is reformulated based on the 6S atmospheric radiative transfer code [28] as in (9), shown at the bottom of this page, where the matrices $T(\theta_s)$, $R(\theta_s, \theta_v, \varphi)$, and $T(\theta_v)$ are defined as

$$T(\theta_s) = [t_{dd}(\theta_s) \ t_{dh}(\theta_s)], T(\theta_v) = \begin{bmatrix} t_{dd}(\theta_v) \\ t_{hd}(\theta_v) \end{bmatrix}$$
$$R(i, v) = \begin{bmatrix} r_{dd}(\theta_s, \theta_v, \varphi) \ r_{dh}(\theta_s, \varphi_s) \\ r_{hd}(\theta_v, \varphi_v) \ r_{hh} \end{bmatrix}.$$

Subscripts s and v stand for solar illumination and viewing direction, respectively; θ_s , θ_v , φ_s , φ_v , and φ are

$$\rho^{\text{TOA}}(\theta_s, \theta_v, \varphi) = t_{\text{H}_2\text{O}} \times \left[\rho_0(\theta_s, \theta_v, \varphi) + \frac{T(\theta_s) \cdot R(\theta_s, \theta_v, \varphi) \cdot T(\theta_v) - t_{dd}(\theta_s) \cdot t_{dd}(\theta_v) \cdot |R(\theta_s, \theta_v, \varphi)| \cdot \overline{\rho}}{1 - r_{hh}\overline{\rho}} \right]$$
(9)

NARROWBAND-TO-BROADBAND CONVERSION COEFFICIENTS								
MODIS Bands (µm)	c0	c1	c2	c3	c4	c5	c6	c7
	(offset)	(0.62 - 0.67)	(0.84–0.87)	(0.46 - 0.48)	(0.54-0.56)	(1.23–1.25)	(1.63–1.65)	(2.11-2.15
Snow/ice free	-0.0015	0.1600	0.2910	0.2430	0.1160	0.1120	0.0000	0.0810
Snow/ice	-0.0093	0.1574	0.2789	0.3829	0.0000	0.1131	0.0000	0.0694

TABLE II band-to-Broadband Conversion Coefficie

TABLE III

INPUT SETTINGS FOR PARAMETERS OF 6S ATMOSPHERIC RADIATIVE TRANSFER CODE

Parameters	Input Settings					
Atmospheric type	Tropical, Midlatitude Summer, Midlatitude Winter, Subarctic Summer, Subarctic Winter, US62					
Aerosol type	Continental, Maritime, Urban, Desert, Biomass burning, Haze					
AOD	0.1, 0.2, 0.25, 0.3, 0.35, 0.4					
Target altitude	0, 0.5, 1.0, 1.5, 2.0, 2.5, 3, 3.5 (km)					
Solar zenith	0, 4, 8,, 76, 80 (degree)					
View zenith	0, 4, 8,, 60, 64 (degree)					
Relative azimuth	0, 20, 40,, 160, 180 (degree)					

the SZA, VZA, solar azimuth angle, view azimuth angle, and RAA, respectively; and t and r represent transmittance and reflectance, respectively; $\rho^{\text{TOA}}(\theta_s, \theta_v, \varphi)$ is the TOA directional reflectance; $\rho_0(\theta_s, \theta_v, \varphi)$ is the path scattering reflectance of the atmosphere; $t_{\rm H_2O}$ is the transmittance of the water vapor; $\overline{\rho}$ is the spherical albedo of the atmosphere. The subscripts h and d stand for hemispheric (diffuse) and directional (direct), respectively, and the four combinations of these two symbols dd, dh, hd, and hh stand for bidirectional, directional-hemispheric, hemispheric-directional, and bihemisphere, respectively. Thus, $t_{dd}(\theta_s)$ and $t_{dd}(\theta_v)$ are the downward and upward bidirectional path transmittances, respectively; and $t_{dh}(\theta_s)$ and $t_{hd}(\theta_v)$ are the directional-to-hemispheric path transmittance and the hemispheric-to-directional transmittance, respectively. $r_{dd}(\theta_s, \theta_v, \varphi), r_{dh}(\theta_s, \varphi_s), r_{hd}(\theta_v, \varphi_v), \text{ and } r_{hh} \text{ are the}$ surface bidirectional reflectance, directional-to-hemispheric reflectance, hemispheric-to-directional reflectance and bihemispheric reflectance (BHR), respectively. The values of $r_{dh}(\theta_s, \varphi_s)$, $r_{hd}(\theta_v, \varphi_v)$, and r_{hh} are calculated using the POLDER-3/PARASOL BRDF database, and the values of $\rho_0(\theta_s, \theta_v, \varphi), t_{dh}(\theta_s), t_{hd}(\theta_v), t_{dd}(\theta_s), t_{dd}(\theta_v), \overline{\rho}, \text{ and } t_{\text{H}_2\text{O}}$ are acquired using a LUT produced by the 6S atmospheric radiative transfer code.

In this paper, the 6S radiative transfer code is selected as a tool for developing the LUT, which contains the atmospheric parameters used in (9). The LUT has seven dimensions: atmosphere type, aerosol type, AOD, target elevation, SZA, VZA, and RAA. The input parameters for the 6S code are set as follows (Table III): six atmosphere types (tropical, midlatitude summer, midlatitude winter, subarctic summer, subarctic winter, and US62 standard) and six aerosol types (continental, maritime, urban, desert, biomass burning, and haze). Haze is a user defined aerosol type, where the fraction of four aerosol particles-dust, water soluble, soot, and oceanic-is 15%, 75%, 10%, and 0%. The amount of water vapor is set to the default value, and the AOD at 550 nm is set to 0.1, 0.2, 0.25, 0.3, 0.35, and 0.4, which corresponds to a range from clear to relatively turbid aerosol loading conditions. The target altitude (land-surface elevation) varies from 0 to 3.5 km in increments of 0.5 km; the SZA varies from 0° to 80°, and

the VZA varies from 0° to 64° , both in 4° increments. The RAA varies from 0° to 180° in increments of 20° .

C. Angular Bin Regression Analysis

We used an angular bin regression approach to link the TOA directional reflectances to surface broadband albedos. To account for the anisotropy in TOA reflectance, the space of solar/view-geometry is divided into 3-D grids according to the ranges of solar zenith, view zenith, and relative azimuth. Each grid is referred to as an angular bin. Regression coefficients between broadband albedo and directional TOA reflectance are derived at each angular bin. As the size of the bin decreases, the RMSE of the fitting result decreases. Finally, the size of $4^{\circ} \times 4^{\circ} \times 20^{\circ}$ is chosen for this paper, and the fitting results are good over most of the angular bins. The central angle of the SZA varies from 0° to 80°, in 4° increments (the solar zenith angular bins are $0^{\circ}-2^{\circ}$, $2^{\circ}-6^{\circ}$, ..., $78^{\circ}-82^{\circ}$). The central angle of the VZA varies from 0° to 64°, in 4° increments (the view zenith angular bins are $0^{\circ}-2^{\circ}$, $2^{\circ}-6^{\circ}$, ..., $62^{\circ}-66^{\circ}$); the central angle of the RAA varies from 0° to 180° in 20° increments (the relative azimuth angular bins are -10° - 10° , 10° – 30° , ..., 170° – 190°). In each angular bin, the TOA directional reflectances at different atmospheric conditions are simulated according to (9), and the kernel coefficients inverted by the POLDER-3/PARASOL BRDF are used to estimate the land-surface broadband albedos and the parameters used in (9).

Because the BRDF characteristics of different land surfaces are different, the data of the POLDER-3 BRDF database need to be classified before the regression analysis. We do not want to use a complex classification system such as IGBP or GLC2000, because they are not based on instantaneous state of the surface. For example, when grassland is covered with seasonal snow, its state is still grassland in the IGBP or GLC2000 systems, so the albedo change cannot be reflected. Instead, we classified the data set of only three major classes are considered: vegetation, soil, and snow/ice. However, to make a smooth transition between the major classes, we adopted the following strategy when building the training data sets. Initially, we divided the data sets into five parts: pure vegetation, pure bare soil, pure snow/ice, intermediate class A,

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TABLE IV Classification Criteria for the Polder BRDF Database

Criterions	Class	Samples
0.22 < NDVI < 1	Pure vegetation	4637
$-1 < NDVI < 0.15$ and $0 < r_{490} < 0.25$	Pure soil	2401
$r_{490} > 0.4$	Pure snow/ice	627
0.15 < NDVI < 0.22	Intermediate class A	1136
$0.25 < r_{490} < 0.4$	Intermediate class B	123

NDVI is an abbreviation for normalized difference vegetation index, and r_{490} is the directional reflectance at wavelength of 490 nm



Fig. 2. Classification for POLDER-3/PARASOL BRDF database.

and intermediate class B (the classification criteria are shown in Table IV and Fig. 2). Then we merged them into three classes: vegetation (pure vegetation + intermediate class A), soil (pure soil + intermediate class A + intermediate class B), and snow/ice (pure snow/ice + intermediate class B). In the angular bin regression procedure, the data sets of different classes are analyzed separately.

The relationship between TOA directional reflectances and broadband albedos can be expressed by

$$\alpha_{ws} = m_0 + \sum_{i=1}^n m_i \rho_i(\theta_s, \theta_v, \varphi)$$
(10)

$$\alpha_{bs}(\theta_s(k)) = n_0(k) + \sum_{i=1}^n n_i(k)\rho_i(\theta_s, \theta_v, \varphi)$$
(11)

where α_{ivs} is the broadband white-sky albedo; $\alpha_{bs}(\theta_s(k))$ is the broadband black-sky albedo; $\theta_s(k)$ is the SZA, which varies from 0° to 80° in increments of 5°; $\theta_s(k) = 0, 5, ..., 75, 80$; k = 1, 2, 3, ..., 16, 17; i = 1, 2, 3, and 4, representing the MODIS bands 648, 859, 466, and 544 nm, respectively; m_0 and $n_0(k)$ are the intersection terms of the regression equation, m_i and $n_i(k)$ are the coefficients of the regression equation, the intersection terms and coefficients are used for different angular bins and land-cover classes. $\rho_i(\theta_s, \theta_v, \varphi)$ is the TOA directional reflectance derived from $\rho_i^{\text{TOA}}(\theta_s, \theta_v, \varphi)$, and $\rho_i(\theta_s, \theta_v, \varphi)$ is derived from the TOA directional reflectance by eliminating the water vapor absorption

$$\rho_i(\theta_s, \theta_v, \varphi) = \rho_i^{\text{TOA}}(\theta_s, \theta_v, \varphi) / t_{\text{H}_2\text{O}}$$
(12)

where $\rho_i^{\text{TOA}}(\theta_s, \theta_v, \varphi)$ is the TOA reflectance, and $t_{\text{H}_2\text{O}}$ is the atmospheric water vapor transmittance in both the upward and downward directions.

III. RESULTS

A. Analysis Based on the Simulated Data set

We examined the accuracy dependencies of the angular bin regression method for different angular bins, aerosol conditions, and land-cover types. For simplicity, the broadband albedos calculated by integrating the estimated results of the revised linear kernel-driven model are referred to as "reference albedo," and the broadband albedos calculated by the angular bin regression model are referred to as "estimated albedo." Two statistical parameters R^2 (coefficient of determination) and RMSE are selected to evaluate the robustness of the angular bin regression method.

1) Accuracy Dependencies on Different Bin Sizes and Solar/View Angles: Because the directional reflectance properties of land surfaces and the atmospheric parameters varies with the solar/view angles, the fitting results of different sizes of angular bins are different. Fig. 3 shows the average fitting RMSE with different bin sizes of VZA (4° to 64° with 4° incensement) at three different SZAs [SZA = 20°, 40°, and 60°; RAA = 100°]. In all of the three SZAs, fitting RMSE decreases along with the bin size of VZA, indicating that the angular bin method is more accurate with smaller bins. On the other hand, smaller bin size means more computational resources. So, a compromise is inevitable. In general, 4° to 10° is adequate bin size for VZA and SZA to get reasonably good regression result. And the adequate bin size of RAA can be much larger than that of SZA and VZA (~ 20° to 60°).

Although the direct-estimation algorithm can make adjustment for the surface anisotropy effect through angular bin division, the sun/view geometry still has an influence on the accuracy of the retrieved broadband albedo. The dependencies of this method on different solar/view angles are examined based on the simulated TOA BRDF data set, where there are 277 104 samples (5773 BRDF samples × 48 atmospheric conditions) in each angular bin; the land-cover type is vegetation; and the aerosol type is continental. In the principle plane, among 357 angular bins at 21 SZAs and 17 VZAs, the R^2 values for 303 angular bins (84.9%) are larger than 0.9, and the RMSE values for 312 angular bins (87.4%) are < 0.01. The fitting results show that the angular bin regression model has a strong ability to fit the data set of different angular bins.

The distribution of fitting R^2 in the view angle hemisphere is shown in Fig. 4, with SZA at 20°, 40°, and 60°. At the different SZAs (20°, 40°, and 60°), the distribution patterns of fitting R^2 are similar. At the angles near the backward

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Fig. 3. Fitting RMSE with different bin size of VZA (SZA = 20° , 40° , and 60° ; RAA = 100°). Land-cover type: vegetation. Aerosol type: continental.

direction of the principal plane (RAA = 0°), R^2 increases as the VZA increases. At the angles near the forward direction of the principal plane (RAA = 180°), R^2 decreases as the VZA increases. The smallest R^2 occurs at the hot spot (RAA = 0°; when SZA = 20°, 40°, and 60°, VZA = 20°, 40°, and 60°), and the largest R^2 occurs at the larger VZA at perpendicular to the principal plane (RAA = 90°). In most cases, the angular bin regression method yields good results for different solar/view angles.

2) Accuracy Dependencies on Different Aerosol Conditions: The dependencies of the angular bin method on different aerosol types (continental, maritime, urban, desert, biomass burning, and haze) are examined. Fig. 5 shows the scatter plot of reference albedo and estimated albedo at six different aerosol types. The fitting result is good except the aerosol type of urban. When the aerosol type is urban, the estimation accuracy is seriously affected by the aerosol loadings, especially when the broadband albedo of land surfaces is >0.5. For the other aerosol types, the estimation accuracy has no significant difference.

Fig. 6 shows the scatter plot of reference albedo and estimated albedo at different aerosol loadings (AOD = 0.10, 0.20, 0.30, and 0.40). The simulation results show that the angular bin regression method has little dependency on aerosol loadings when the aerosol type is continental. The capability of the angular bin regression method is similar to that of atmospheric correction using the full 6S atmospheric radiative transfer code. The albedos estimated using the angular bin regression model are quite consistent with the albedos calculated by integrating the revised linear kernel-driven coefficients, and most of the points cluster around the diagonal.

3) Accuracy Dependencies on Different Land-Cover Types: In Section II-C, the land observations are classified into vegetation, soil, and snow according to their spectral difference. The fitting results of different land-cover types of one of the angular bin are shown in Fig. 7. For this angular bin, the



Fig. 4. Distribution of fitting R2 in the view angle hemisphere (a) SZA = 20° . (b) SZA = 40° . (c) SZA = 60° . Land-cover type: vegetation. Aerosol type: continental.

central SZA is 32° , the VZA is 0° , and the RAA is 180° , with RMSE of 0.012 for vegetation, 0.013 for soil, and 0.025 for snow/ice, respectively.

In conclusion, the angular bin regression model is very robust, and its accuracy depends on the angular bins, aerosol conditions, and land-cover types.

B. Validation

The field-observed local noon albedos (ground-truth data) provided by FLUXNET are used to validate the broadband albedos estimated by the direct-estimation algorithm. In this paper, the land-surface broadband albedos at local noon are estimated using (10) and (11), whereas the coefficients of the angular bin regression model are precalculated and saved in a LUT. When the MODIS daily TOA reflectance product (MOD02) is acquired, the landsurface broadband albedos are estimated using the coefficients



Fig. 5. Scatter plot of reference albedo versus estimated albedo of different aerosol types. (a) Continental. (b) Maritime. (c) Urban. (d) Desert. (e) Biomass burning. (f) Haze.

of different angular bins searched from the LUT. Fieldobserved albedo measurements are acquired from FLUXNET website (http://daac.ornl.gov/FLUXNET/fluxnet.shtml), which provides continuous observations of the exchanges of carbon dioxide, water vapor, and energy between the biosphere and the atmosphere. The long-term field measurements of upwelling/downwelling radiation flux are collected by the tower-mounted pyranometers at a series of sites. In [41], the spatial representativeness of FLUXNET sites is investigated, and 53 sites are considered to meet the criterion of homogeneity. Based on their study, the same 53 tower-based field measurement sites (shown in Table V) with ten different land-cover types are selected to validate the direct-estimation algorithm.

The time series of remote sensing retrieved daily albedo by direct-estimation algorithm in several typical FLUXNET sites are shown in Fig. 8, together with the ground measurements and the eight day MCD43B3 products. When doing the comparison, the blue-sky albedo is calculated by (5), where the fraction of skylight is calculated by a predetermined LUT based on 6S atmospheric radiative transfer code.

The land-cover type of the AU Tum site (35.66 °S, 148.15 °E) is every broadleaf forests. From January 2002 to December 2004, the surface broadband albedo is ~ 0.1 in



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Fig. 6. Scatter plot of reference albedo versus estimated albedo of different AOD. (a) AOD = 0.1. (b) AOD = 0.2. (c) AOD = 0.3. (d) AOD = 0.4. Aerosol type: continental.



Fig. 7. Scatter plot of reference albedo versus estimated albedo of different land-cover classes. Green points: are vegetation. Red points: soil. Blue points: snow or ice. Aerosol type: continental.

summer, and when snow falls in winter, the albedo increases dramatically to 0.25; when the snow melts in spring, the albedo decreases immediately. Fig. 8(a) shows that the direct-estimated albedos are consistent with the field-observed data.

The land-cover type of the US Fpe site (48.31 °N, 105.10 °E) is grasslands. From June 2002 to November 2005, the albedo increases dramatically from 0.15 to 0.8 when snow falls, and decreases immediately when the snow melts [Fig. 8(b)]. In the winter of November 2003 to April 2004, the direct-estimation algorithm obtained more estimation results

FIELD MEASUREMENTS ACQUIRED FROM FLUXNET

Ν	Site ID	Country	IGBP	Latitude	Longitude	ST _{score} leaf-on	ST _{score} leaf-off
		•	Туре	(degree)	(degree)	score	score
1	AU Tum	Australia	EBF	-35.66	148.15	9.75	
2	AU Wac	Australia	EBF	-37.43	145.19	11.3	
3	BR Cax	Brazil	EBF	-1.72	-51.46	5.33	
4	BR Sa3	Brazil	EBF	-3.02	-54.97	6.23	
5	BW Ghg	Botswana	SAV	-21.51	21.74	0.67	0.6
6	BW Ghm	Botswana	WSA	-21.2	21.75		
7	BW Ma1	Botswana	WSA	-19.92	23.56	5.42	3.4
8	CA Ca1	Canada	ENF	49.87	-125.33	5.99	
9	CA Ca3	Canada	ENF	49.53	-124.9	0.64	
10	CA NS6	Canada	OSH	55.92	-98.96		
11	CA SF2	Canada	ENF	54.25	-105.88	1.72	
12	CA SE3	Canada	ENF	54.09	-106.01	3.14	
13	CA WP1	Canada	MF	54.95	-112.47	1.65	1.15
14	CZ BK1	Czech Republic	ENF	49.5	18 54	3.18	
15	DE Geb	Germany	CRO	51.1	10.91	0.9	0.88
16	DE Hai	Germany	DBE	51.08	10.45	1 97	4 01
17	DE Hai	Germany	CRO	50.89	13 52	0.62	0.88
18	DE Tha	Germany	ENE	50.05	13.52	5.00	0.00
10	DE Tha DE Wet	Germany	ENF	50.75	11.46	1.55	
20	ES ES2	Spain	CPO	30.78	0.32	0.01	1.04
20	ES LS2 ES LMa	Spain	SAV	30.04	-0.32	1.80	1.04
21	ES Evia	France	DRE	18 18	278	0.51	0.64
22	FR Hes	France	DBF	40.40	2.78	1.44	1 21
23	FR Due	France	EBE	40.07	3.6	0.87	1.21
24	GE Guy	France Guyana	EBE	5.74	52.03	1.02	
25		Hungory	CDA	J.28 46.60	-52.95	1.92	0.03
20		Iraland	GRA	51.00	9.75	1.74	0.95
27	IE DII IT Pop	Itelatio	ENE	20.48	-0.75	2.42	
20		Italy	DPE	39.40 41.95	12.50	2.45	0.71
29	IT SPo	Italy	DBF	41.03	10.29	2.0	0.71
21	II SKU	Italy	CRO	45.75	140.02	J.I 1 15	1.2
22	JP Mas	Japan	CKU	30.03	140.05	1.13	1.2
52 22		Norea		51.75	127.10	5.01	13.41
24	NL Car	Netherlands	CPO	51.97	4.95	1.05	0.95
25	NL Lan	Netherlands	ENE	52.17	4.9	1.44	1.41
26	NL LOO	Doutta and	ENF	20 64	J.74 0 C	1.4	
27		Portugal		20.04	-8.0	0.80	0.44
20	SE Nor	Kussia		60.00	101.54	0.54	0.44
20	SE NOT	Sweden	ENF	56.61	17.40	5.04 1.49	
39	UK GII		CDA	21.50	-5.8	1.40	1.12
40	US Aud	USA	GKA	51.39	-110.31	0.02	1.12
41	US BIL	USA	ENF	03.92	-145.58	0.50	1 40
42	US BOI	USA	CRO	40.01	-88.29		1.48
43	US B02	USA	CKU	40.01	-88.29	2.00	1.58
44	US Fmi	USA	ENF	35.14	-111./3	5.08	0.02
45	US FPe	USA	GKA	48.51	-105.1	1.05	0.93
40	US FUI	USA	ENF	35.09	-111./0	1.72	0.77
47	US IB1	USA	CRO	41.86	-88.22	0.76	0.//
48	US IVO	USA	WEI	08.49	-155.75	0.75	6.97
49	US MMS	USA	DBF	39.32	-86.41	8.75	6.8/
50	US MOZ	USA	DBF	38.74	-92.2	2.17	3.17
51	US SRM	USA	WSA	31.82	-110.87	2.13	1.48
52	US WCr	USA	DBF	45.81	-90.08	1.82	2.94
53	ZA Kru	South Africa	SAV	-25.02	31.5	1.34	1.28

Vegetation is coded according to the IGBP classification. EBF: evergreen broadleaf forests. SAV: savannas. WSA: woody savannas. ENF: evergreen needleleaf forests. OSH: open shrublands. MF: mixed forests. CRO: croplands. DBF: deciduous broad-leaf forests. GRA: grasslands. WET: Wetlands. ST_{score}leaf-on and ST_{score}leaf-off are indices of landscape heterogeneity derived from high-resolution scenes (enhanced thematic mapper plus) during the leaf-on and leaf-off seasons, respectively.

than did the traditional multiple-date-based algorithm during the snow fall and melt processes.

The land-cover type of FR Pue site (43.74 °N, 3.6 °E) is evergreen broadleaf forests. In 2006, as no snow falls in winter, the seasonal average of surface broadband albedo is ~ 0.1 , and this does not significantly change throughout the year. The albedos estimated by the direct-estimation algorithm are consistent with field-observations [Fig. 8(c)].

The land-cover type of the US Bo1 site (40.01 $^{\circ}$ N, 88.29 $^{\circ}$ W) is croplands. From May 2004 to December 2006, the surface broadband albedo changes drastically, with albedo changing from 0.1 to 0.25 during summer, and from 0.15 to 0.7 during winter [Fig. 8(d)]. The rise and fall of ground-truth albedos trends can be captured by the direct-estimation algorithm very well.

The land-cover type of CA NS6 site (55.92 °N, 98.96 °W) is open shrublands. The seasonal variations of this site from

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Fig. 8. Time series plots of surface broadband albedos estimated by the direct-estimation algorithm and field-observed ground data. (a) AU Tum, EBF, 2002–2004. (b) US Fpe, GRA, 2002–2006. (c) FR Pue, EBF, 2006. (d) US Bo1, CRO, 2004–2006. (e) CA NS6, OSH, 2002–2005. Red dots: field-observed albedos. Blue asterisks: albedos estimated by the direct-estimation algorithm. Green plus signs: albedos of the MCD43B3 product.

May 2002 to September 2005 are shown in Fig. 8(e). The land-surface broadband albedo increases from 0.1 to \sim 0.15 in summer, and decreases in autumn. When snow falls, the albedo



Fig. 9. Scatter plot of surface broadband albedo estimated by the directestimation algorithm and field-observed data, where 53 sites of (Table V) with ten different land-cover types are employed in this comparison. Ramp colors: number of scatters fall in each pixel (0.008×0.008).



Fig. 10. Scatter plot of direct-estimation algorithm broadband black-sky albedo and MCD43B3 broadband black-sky albedo. Ramp colors: number of scatters fall in each pixel (0.0035×0.0035) .

gradually increases from 0.1 to \sim 0.6. Fig. 8(e) shows that the seasonal variation trends of albedo can be well captured by the direct-estimation algorithm, though the estimated albedos are a little higher than the field-observed albedos during summer at this site.

The scatter plots of estimated and ground observed daily albedo in all the 53 sites (Table V) are shown in Fig. 9, where the ramp color stands for the number of the scatter points that fall in each pixel. The overall R^2 is 0.8182, and RMSE is 0.0538. The quantitative assessment of this algorithm corresponds to the overall error of the direct-estimation algorithm.

The consistency of the direct-estimation algorithm and MCD43B3 product are also examined in this paper. We derived

an eight day albedo product by simply averaging the daily direct-estimated broadband albedo in a 16-day time window. One thousand seven hundred thirty-nine pixels around the world from 2006 to 2009 are selected for this comparison, including the sites that are usually used for *in situ* validation (FLUXNET sites, baseline Surface Radiation Network sites, thematically homogenous pixels selected by POLDER-3 BRDF database, and so on). The scatter plot of broadband black-sky albedo derived by direct-estimation algorithm and MCD43B3 product is shown in Fig. 10. The overall R^2 is 0.9203, and RMSE is 0.0312. The comparison result shows that the overall accuracy of the direct-estimation algorithm, which is derived without doing atmospheric corrections, is consistent with the current widely used MCD43B3 product.

C. Discussion

The preliminary validation of the direct-estimation algorithm indicates reasonably good results. The retrieved albedos are in good agreement with the ones of MODIS product though they are derived from different algorithms. As a merit of the direct-estimation algorithm, it is capable of generating albedo product in daily temporal resolution, which will reflect the dynamic of land-surface albedo better than the traditional multiple-date-based algorithm. However, the direct-estimation algorithm is still sensitive to the clouds and other noise sources, and the preliminary results should be filtered based on the dynamic process models.

Based on the simulation results, the theoretical average uncertainty of the algorithm is ~ 0.009 for vegetation, 0.012 for soil, and 0.030 for snow/ice. This uncertainty estimation is based on the assumption that surface BRDF and atmosphere radiative transfer are accurately modeled. Noise in satellite observations is also not considered in this analysis. So, much larger estimation errors should be expected when applying this method to real satellite data.

This algorithm involves three limitations that should be taken into consideration.

- This algorithm constitutes an empirical model based on a training BRDF data set. As a result, the performance of the algorithm is limited by the representativeness of training data set, as well as the accuracy of surface BRDF and atmospheric radiative transfer model that is adopted in the simulation. In addition, because this algorithm only uses one scene of MODIS data for each estimation process, the algorithm may be more sensitive to noise than the multiobservation-based algorithm, especially when clouds and snow mix in the image pixels.
- 2) Although the POLDER-3/PARASOL BRDF database is the most suitable training data for this paper, the data set's resolution is much lower than that of the MODIS data. We readily admit that this is a probable source of uncertainty for this algorithm; the scale effects of the training data set need to be studied in the future.
- 3) In this paper, the broadband albedo estimated by the proposed method is preliminarily validated against ground-based point measurements. However, the spatial resolution of tower-observed albedos is not consistent with albedos estimated from satellite observations. Fur-

ther validations based on high-resolution satellite data for scaling-up [42] are still needed.

IV. CONCLUSION

The temporal and spatial variation of land-surface broadband albedo was critical information for global change studies. A daily albedo product was needed, especially when the albedo changes rapidly, such as when snow was falling or melting. In this paper, a direct-estimation algorithm was proposed for estimating daily land-surface broadband albedo using MODIS data. This method builds a linear relationship between the TOA directional reflectances and land-surface broadband albedos using an angular bin regression method. Because the requirements for data and the associating data preprocessing were minimized, this method enables the production of daily broadband albedos. This paper has improved the algorithm in several ways.

- An atmospheric radiative transfer simulation method was applied to replace the usual atmospheric correction procedure. In the previous paper, the atmospheric radiative transfer simulation method was based on the Lambertian assumption, whereas a fast, accurate analytical equation that accounts for BRDF effects was used in this paper.
- 2) The current standard MODIS BRDF/albedo algorithm needs 16-day composite atmospheric-corrected reflectance data to retrieve the land-surface albedo with a linear kernel-driven model, whereas only one scene of MODIS data is needed in our algorithm, so that daily albedo product can be generated. Validation results showed that the direct-estimation method is consistent with the current standard MODIS BRDF/albedo product and with improved capability for characterizing the temporal variation of albedo, especially when the land-surface BRDF changes rapidly.
- 3) The POLDER-3/PARASOL BRDF database was employed in this paper. The POLDER instrument provides much better angular sampling of the viewing direction hemisphere than MODIS [11]. The BRDF database served as a training data set that allows for a complete representation of the BRDF characteristics of land surfaces in global scope.
- 4) The proposed algorithm uses a precalculated LUT to estimate the land-surface broadband albedo, so it is efficient and suitable for producing long-term global albedo products [43].

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